SQC - Report of corporate governance for the mid-annual of 2017

Name of the company: Saigon - Quy Nhon Mineral Joint Stock Company

Address of headquarter: Lot B6, Road 5, Industrial Zone of Nhon Hoi, Quy Nhon, Binh Dinh

Tel: 0256.3535080 Fax: 0256.3535080 Email:

Charter capital: VND 1.100.000.000

Securities code: SQC

1. **Activities of the General Meeting of Shareholders**

Information on the meetings and Resolutions / Decisions of General Meeting of Shareholders:

|  |  |  |  |
| --- | --- | --- | --- |
| **No.** | **Symbol of document** | **Date** | **Content** |
| 1 | 0104/NQ-DHCD-SQC.17 | 28/4/2017 | Resolution of 2017 Annual General Meeting of Shareholders |

1. Board of Management
2. Information about the members of the Board of Management:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **BOM's member** | **Position** | Day becoming/ no longer member of the Board of Management | Number of attendance | **Percentage** | Reasons for absence |
| 1 | Huynh Thi Ngoc Lan | Chairman |  | 1 | 100% |  |
| 2 | Huynh Thi Kim Lien | Member |  | 1 | 100% |  |
| 3 | Ngo Trung Linh | Member |  | 1 | 100% |  |
| 4 | Tran Thi Lan Anh | Member |  | 1 | 100% |  |
| 5 | Nguyen Thi Trieu Thanh | Member | 28/4/2017 | 0 | 0% | Dismissed  |
| 6 | Tran Ngoc Han | Member | 28/4/2017 | 0 | 0% | Additional -appointed |

4. Resolutions / Decisions of the Boards:

|  |  |  |  |
| --- | --- | --- | --- |
| **No.** | **Symbol of document** | **Date** | **Content** |
| 1 | 0103/2017/NQ-DHCD-SQC | 26/4/2017 | Approving the additional content and preparation at 2017 Annual General Meeting of Shareholders |

1. Supervisory Board

**1. Information about the members of Supervisory Board (S.B):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Members of Supervisory Board | Position | Day becoming */no* longer memberof the Supervisory Board | Number of attendance | Percentage | Reasons for absence |
| 1 | Nguyen Ngoc Cat Tuong | Head of S.B | 28/4/2017 | 1 | 100% |  |
| 2 | Tran Ngoc Han | Member | 28/4/2017 | 1 | 100% |  |
| 3 | Du Ngoc My Loan | Member | 28/4/2017 | 1 | 100% |  |
| 4 | Cao Thi Thanh Ha | Member | 28/4/2017 | 0 | 0% | New-appointed |
| 5 | Tran Thi Huyen Tran | Member | 28/4/2017 | 0 | 0% | New-appointed |

**IV. Training on corporate governance:**

**V. List of affiliated persons of the public company as specified in clause 24, Article 6 of the Securities Law and transaction of affiliated persons of the Company with the Company**

1. List of affiliated persons of the Company: Attached file

**2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal persons and related person of internal person: None**

**3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: None**

4.4. Transactions between the company and other objects: None

4.2. Transactions between the company and the company that related person of members of Board of Management, members of the Supervisory Board, Director (CEO) as a member of Board of Management, Director (CEO).

4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Management, members of the Supervisory Board, Director (CEO).

**VI. Transactions of internal persons and related person of internal person**

1. **List of internal persons and their affiliated persons: None**
2. **Transactions of internal persons and affiliated persons on shares of the listed company**
3. **Other significant issues:** None

**List of affiliated persons of the listing Company**

**Saigon - Quy Nhon Mineral Joint Stock Company**

**Attached with Management Statement of the first 6-month of 2017**

|  |  |  |  |
| --- | --- | --- | --- |
| **No.** | **Name of organizations / individuals** | **Position / relationship** | **Numbers of owned-shares** |
| 1. Affiliated persons to internal shareholders as Members of the Boards
 |
| 1 | Huynh Thi Ngoc Lan | Chairman of the Board | 21.000 |
| 2 | Hoang Thi Kim Lien | Members of the Boards | 158.400 |
| 3 | Ngo Trung Linh | Members of the Boards |  |
| 4 | Tran Thi Lan Anh | Members of the Boards |  |
| 5 | Tran Ngoc Han | Members of the Boards | 3.300 |
| 1. Affiliated persons to internal shareholders as Members of S.B
 |
| 1 | Nguyen Ngoc Cat Tuong | Members of S.B | 11.000 |
| 2 | Cao Thi Thanh Ha | Members of S.B |  |
| 3 | Tran Thi Huyen Tran | Members of S.B |  |
| 1. Affiliated persons to internal shareholders as Members of Board of General Director
 |
| 1 | Huynh Thi Ngoc Lan | General Director | As Section I.1 |
| 3 | Kieu Thanh Tuan | Chief Accountant |  |
| 1. Other affiliated persons: None
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